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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Tetsuya WATANABE et al.

Appl. No.: 09/981,807

Confirmation No.: 7561

Filed: October 19, 2001

For: SHAFT SEALING ASSEMBLY  
FOR VACUUM PROCESSING  
APPARATUS (as amended)

Art Unit: 3679

Examiner: Vishal A. Patel

Atty. Docket No.: 36595-176071

Customer No.

26694

PATENT TRADEMARK OFFICE

**Request for Acknowledgement of Previously  
Filed Information Disclosure Statement**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

Sir:

Attached is a copy of our date-stamped receipt, and a copy of the Information Disclosure Statement as filed, evidencing that the Information Disclosure Statement was filed on July 15, 2003 and August 20, 2003. Please initial and return a copy of the PTO/SB/08A indicating consideration of the cited references.

Respectfully submitted,

Date: September 19, 2005

Andrew C. Aitken

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114 121  
Venable Filing Number



Attorney/LAA: Chad C. Anderson/cc  
PTO Due Date: July 15, 2003  
Date Filed: July 15, 2003  
TM Official Gazette Date:

Atty. Docket No: 36595-176071  
Re: Tetsuya WATANABE et al.  
Application No: 09/981,807  
Patent No.:  
Trademark:  
Opposition/Cancellation No:

Filing Date: October 19, 2001  
Issue Date:  
Trademark Reg. No:

10/15; 8/9

The following items were received from Venable, Washington, D.C., by the U.S. Patent & Trademark Office:

**U.S. PTO FEES ENCLOSED**

- ☐ Transmittal Letter
- ☐ Fee Transmittal Letter
- ☐ New U.S. Provisional Application ( pages of specification/claims)
- ☐ Formal Drawings ( sheets, Figs.)
- ☐ Invention Declaration
- ☐ U.S. National Stage Application of PCT Application
- ☐ Translation of International Application
- ☐ New U.S. TM Application ( specimens)
- ☐ Rule 53(d) Continued Prosecution Application
- ☐ Substitute Specification
- ☐ Rule 53(b) Continuation or Divisional Application (*attach copy of specification, claims, drawings & declaration*)
- ☐ Priority Document-Cert. Copy of Appln.#: ; Country
- ☐ Date Filed:
- ☐ Request for Continued Examination (RCE) under 37 CFR 1.114
- ☐ Request for Reconsideration
- ☐ Application Data Sheet
- ☐ Assignment w/Cover Sheet
- ☒ IDS w/ PTO SB/08 a/k/a PTO Form-1449 (Search Report, no references)
- ☒ Response
- ☒ Amendment/☐ Preliminary Amendment
- ☐ Petition/Request for Extension of Time (one mo. ext.)
- ☐ Notice of Appeal
- ☐ Appeal Brief (*in triplicate*)
- ☐ Reply Brief (*in triplicate*) / ☐ Request for Oral Hearing
- ☐ Confirmation of Hearing Petition
- ☐ Issue Fee Transmittal
- ☐ Certificate of Correction
- ☐ Maintenance Fee Transmittal
- ☐ Power of Attorney
- ☐ Response to Notice to File Missing Parts
- ☐ Response to Notice to File Missing Requirements
- ☐ Response to Restriction Requirement
- ☐ Petition to Revoke
- ☐ Sequence Listing - CDR Enclosed? ☐ Yes ☐ No
- ☐ Status Inquiry
- ☐ Request for Non-Publication
- ☐ Request to Rescind Non-Publication Request
- ☐ Terminal Disclaimer
- ☐ TM Statement of Use
- ☐ Extension of Time ( mo. ext.)
- ☐ Declaration Under 8
- ☐ Declaration Under 8 and 15
- ☐ TM Renewal Application
- ☐ Notice of Opposition (*Please list all documents in Other section*)
- ☐ Amendment to Alleged Use
- ☐ Other: (*Please describe below*)

Filing Fee  
Surcharge Fee  
Additional Claim Fee  
Recordation/Indexing Fee  
IDS Fee  
Extension Fee  
Notice of Appeal Fee  
Brief on Appeal Fee  
Oral Hearing Request Fee  
Petition Fee  
Issue Fee  
Publication Fee  
Maintenance Fee  
TM Statement of Use  
8 Affidavit Fee  
8 and 15 Affidavit  
TM Renewal Application Fee  
Notice of Opposition Fee  
TM Extension of Time Fee

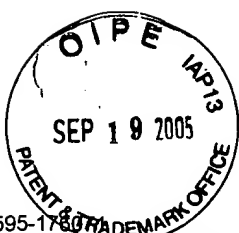


Total Fees Paid

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☐ Yes ☐ No





114870

Venable Filing Number

Attorney: \_\_\_\_\_ ACA/mo

PTO Due Date: 10/3/03

Date Filed: 8/20/03

TM Official Gazette Date: \_\_\_\_\_

Atty. Docket No: 36595-1780  
Re: WATANABE ET AL - Shaft Sealing  
Apparatus

Application No: 09/981,807

Patent No. : \_\_\_\_\_

Trademark: \_\_\_\_\_

Opposition/Cancellation No: \_\_\_\_\_

Filing Date: 10/19/01

Issue Date: \_\_\_\_\_

Trademark Reg. No: \_\_\_\_\_

The following items were received from Venable, Washington, D.C., by the U.S. Patent &amp; Trademark Office:

**U.S. PTO FEES ENCLOSED**

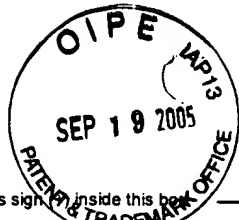
- ☐ Transmittal Letter  
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☐ Invention Declaration.  
☐ U.S. National Stage Application of PCT Application  
☐ Translation of International Application  
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☐ Substitute Specification  
☐ Rule 53(b) Continuation or Divisional Application (*attach copy of specification, claims, drawings & declaration*)  
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☐ Request for Reconsideration  
☐ Application Data Sheet  
☐ Assignment w/Cover Sheet  
☒ IDS w/ PTO SB/08 a/k/a PTO Form-1449 (4 references)  
☐ Response  
☐ ☐ Amendment/☐ Preliminary Amendment  
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☐ Notice of Appeal  
☐ Appeal Brief (*in triplicate*)  
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☐ Amendment to Alleged Use  
☐ Other: (*Please describe below*)

- \_\_\_\_\_ Filing Fee  
\_\_\_\_\_ Surcharge Fee  
\_\_\_\_\_ Additional Claim Fee  
\_\_\_\_\_ Recordation/Indexing Fee  
\_\_\_\_\_ IDS Fee  
\_\_\_\_\_ Extension Fee  
\_\_\_\_\_ Notice of Appeal Fee  
\_\_\_\_\_ Brief on Appeal Fee  
\_\_\_\_\_ Oral Hearing Request Fee  
\_\_\_\_\_ Petition Fee  
\_\_\_\_\_ Issue Fee  
\_\_\_\_\_ Publication Fee  
\_\_\_\_\_ Maintenance Fee  
\_\_\_\_\_ TM Statement of Use  
\_\_\_\_\_ 8 Affidavit Fee  
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\_\_\_\_\_ TM Renewal Application Fee  
\_\_\_\_\_ Notice of Opposition Fee  
\_\_\_\_\_ TM Extension of Time Fee

Total Fees Paid

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/981,807
				Filing Date	October 19, 2001
				First Named Inventor	Tetsuya WATANABE et al.
				Group Art Unit	3676
				Examiner Name	Vishal A. Patel
Sheet	1	of	1	Attorney Docket Number	36595-176071

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A	3,383,117	A	Fagel	05-14-1968	
	B	4,573,690	A	Dehart et al.	03-04-1986	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>				
	C		266 732		05-11-1988		
	D		879 977		11-25-1998		
	E		40 18 278		12-19-1991		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached